

16711 U.S. PTO
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Transmittal Form w/ Declaration

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|---|--|----------------|--------------------------|--|
| UTILITY PATENT APPLICATION TRANSMITTAL (Only for new nonprovisional applications under 37 CFR 1.53(b)) | Attorney Docket No. | SNY-049 | Total Pages | |
| | First named Inventor or Application Identifier | | Shigekazu YASUOKA | |
| | Title of Invention | | ALKALINE STORAGE BATTERY | |

| | |
|--|--|
| APPLICATION ELEMENTS See MPEP chapter 600 concerning utility patent application contents. | ADDRESS TO: Commissioner for Patents Box Patent Application Alexandria, VA 22313-1450 |
| 1. <input checked="" type="checkbox"/> Fee Transmittal Form 2. <input checked="" type="checkbox"/> Specification [Total Pages <u>20</u>] - Descriptive title of the Invention - Cross References to Related Applications - Statement Regarding Fed sponsored R & D - Reference to Microfiche Appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure 3. <input checked="" type="checkbox"/> Formal Drawing(s) (35 USC 113) [Total Sheets <u>1</u>] 4. <input checked="" type="checkbox"/> Oath or Declaration [Total pages <u>2</u>] a. <input checked="" type="checkbox"/> Newly executed (original or copy) b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 17 completed) [Note Box 5 below] i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b). 5. <input type="checkbox"/> Incorporation By Reference (useable if Box 4b is checked) The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein. | 6. <input type="checkbox"/> Microfiche Computer Program (Appendix) 7. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) a. <input type="checkbox"/> Computer Readable Copy b. <input type="checkbox"/> Paper Copy (identical to computer copy) c. <input type="checkbox"/> Statement verifying identity of above copies ACCOMPANYING APPLICATION PARTS 8. <input checked="" type="checkbox"/> Assignment papers (cover sheet & document) 9. <input type="checkbox"/> 37 CFR 3.73(b) Statement (When there is an assignee) <input type="checkbox"/> Power of Attorney 10. <input type="checkbox"/> English Translation Document (if applicable) 11. <input type="checkbox"/> Information Disclosure Statement (IDS) /PTO-1449 <input type="checkbox"/> Copies of IDS Citations (* docs) 12. <input type="checkbox"/> Preliminary Amendment 13. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) 14. <input type="checkbox"/> Assertion to Entitlement to Small Entity Status <input type="checkbox"/> Assertion filed in prior application, status still proper and desired 15. <input checked="" type="checkbox"/> Certified Copy of Priority Document <input checked="" type="checkbox"/> Priority of application No. 2003-008976 filed on January 17, 2003, in Japan is claimed under 35 USC 119. <input type="checkbox"/> The certified copy has been filed in prior application Serial No. . 16. <input checked="" type="checkbox"/> Other: <u>Application Data Sheet</u> OTHER 17. <input type="checkbox"/> Priority of * Patent Application No. * filed * is claimed under 35 USC 119. |

18. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

☐ Continuation ☐ Divisional ☐ Continuation-in part (CIP)

of prior application No.: *

19. CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Label 020374 or ☐ Correspondence address below

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|-------------|-----------------------|-------|--------------|----------|--------------|
| NAME | KUBOVCIK & KUBOVCIK | | | | |
| ADDRESS | 900 17th Street, N.W. | | | | |
| CITY | Washington | STATE | DC | ZIP CODE | 20006 |
| FILING DATE | January 16, 2004 | TEL | 202-887-9023 | FAX | 202-887-9093 |

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FEE TRANSMITTAL

Note: Effective October 1, 2003

| | |
|------------------------|-------------------|
| Application Number | Not Yet Assigned |
| Filing Date | January 16, 2004 |
| First Named Inventor | Shigekazu YASUOKA |
| Group Art Unit | Not Assigned |
| Examiner Name | Not Assigned |
| Attorney Docket Number | SNY-049 |

| CLAIMS AS FILED-PART 1 | | | SMALL ENTITY | | OTHER THAN SMALL ENTITY | |
|--|--------------|--------------|--------------|----------|-------------------------|----------|
| FOR | NUMBER FILED | NUMBER EXTRA | RATE | FEE | RATE | FEE |
| BASIC FEE (37 CFR 1.16 (a)) | | | | \$385.00 | | \$770.00 |
| TOTAL CLAIMS (37 CFR 1.16 (c)) | 4 - 20 = | | \$9.00 | | \$18.00 | \$0.00 |
| INDEPENDENT CLAIMS (37 CFR 1.16 (B)) | 2 - 3 = | | \$43.00 | | \$86.00 | \$0.00 |
| MULTIPLE DEPENDENT CLAIM PRESENT (37 CFR 1.16 (d)) | | | \$145.00 | | \$290.00 | |
| | | | SUB TOTAL | | SUB TOTAL | \$770.00 |
| SURCHARGE-LATE FILING FEE OR DECLARATION | | | \$65.00 | | \$130.00 | |
| RECORDING ASSIGNMENT | | | \$40.00 | | \$40.00 | \$40.00 |
| TOTAL | | | | \$0.00 | | \$810.00 |

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| | | | |
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